#### SEC Form 4

### FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| 1. Name and Add  |                    | J Person <sup>*</sup> | 2. Issuer Name and Ticker or Trading Symbol CONOCOPHILLIPS [ COP ] |       | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)     |                       |  |  |  |
|--|--------------------|-----------------------|--|-------|---|-----------------------|--|--|--|
| <u>FARACI JOHN V</u>   |                    |                       |  | X     | Director  | 10% Owner             |  |  |  |
| (Last)<br>16930 PARK I   | (First)<br>ROW DR. | (Middle)              | 3. Date of Earliest Transaction (Month/Day/Year)<br>08/31/2020     |       | Officer (give title below)  | Other (specify below) |  |  |  |
| ·  |                    |                       | 4. If Amendment, Date of Original Filed (Month/Day/Year)           |       | 6. Individual or Joint/Group Filing (Check Applicable                       |                       |  |  |  |
| (Street)<br>HOUSTON  | TX                 | 77084                 |  | Line) | Form filed by One Reporting Person<br>Form filed by More than One Reporting |                       |  |  |  |
| (City)   | (State)            | (Zip)                 |  |       | Person  |                       |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                    |                       |  |       |   |                       |  |  |  |

| 1 | Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | Execution Date, | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---|------------------------------|--|-----------------|---|---|---|---------------|-------|---|---|---|
|   |                              |  |                 | Code                                    | v | Amount  | (A) or<br>(D) | Price | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (   |   |  |   |                              |   |     |     |  |                    |  |  |   |  |  |  |
|---|---|--|---|------------------------------|---|-----|-----|--|--------------------|--|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A) | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Stock<br>Units                                      | (1)   | 08/31/2020                                 |   | Α                            |   | 308 |     | (2)  | (2)                | Common<br>Stock  | 308                                    | \$38.01   | 36,320.2619 <sup>(3)</sup>   | D  |  |

Explanation of Responses:

1. The stock units convert to ConocoPhillips common stock on a 1-for-1 basis.

2. The reporting person has elected to receive payment as a lump sum six months following separation from service, which election may be changed by the reporting person to provide for an alternative schedule of deferred payments.

3. Includes units acquired through routine dividend transactions that are exempt under rule 16a-11.

#### Remarks:

See attached footnotes page.

# Shannon B. Kinney, Attorney

in Fact (by Power of Attorney filed with the Commission on August 2, 2017)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.