SEC Form 4
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr Lance Ryan	2. Issuer Name and Ticker or Trading Symbol <u>CONOCOPHILLIPS</u> [ COP ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) 16930 PARK F	(First) ROW DR.	(Middle)	3. Date of Earlies 10/08/2020	3. Date of Earliest Transaction (Month/Day/Year) 10/08/2020							ve title airman and C	Other (specify below) EO		
(Street) HOUSTON	ТХ	77084	4. If Amendment,	4. If Amendment, Date of Original Filed (Month/Day/Year)						<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>				
(City)	(State)	(Zip) Table I - Non-Deriv	/ative Securitie	tive Securities Acquired, Disposed of, or Benefi										
1. Title of Security (Instr. 3) Date (Month			2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8) Code		4. Securities Disposed Of Amount			Secur Benef Owne Repor Trans	icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	10/08/2020	М		1,167	A	(1)	46,027	D	
Common Stock	10/08/2020	D		1,336(2)	D	\$61.7648	44,691	D	
Common Stock							49,822	Ι	By Lance Family Trust
Common Stock							15,280.634 <sup>(3)</sup>	Ι	By ConocoPhillips Savings Plan

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (I	oosed D) tr. 3, 4	Expiration Dat	5. Date Exercisable and Expiration Date (Month/Day/Year) Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Units	(4)	10/08/2020		М			1,167	10/08/2020 <sup>(5)</sup>	(6)	Common Stock	1,167	\$61.7648	2,335	D	

Explanation of Responses:

1. Each stock unit was the economic equivalent of one share of common stock and settled in cash.

2. Includes common stock resulting from Mr. Lance's request of the Human Resources and Compensation Committee ("HRCC") to diversify a portion of his holdings by cancelling 1,167 restricted stock units and 169 shares of restricted stock and creating an account in the Key Employee Deferred Compensation Plan of similar value. The HRCC has customarily reviewed such requests after (1) the employee has reached age 55 and (2) the request has aged approximately one year. Only equity awards earned and vested prior to 2005 are subject to this review. The HRCC approved the request on October 8, 2020.

3. Includes units acquired through routine dividend transactions that are exempt under rule 16a-11 and through a qualified plan that are exempt under rule 16a-3.

4. The stock units represent ConocoPhillips common stock on a 1-for-1 basis.

5. On October 8, 2020, the HRCC approved a request of Mr. Lance to diversify a portion of his restricted stock unit holdings by cancelling the units and creating an account in the Key Employee Deferred Compensation Plan of similar value. The HRCC has customarily reviewed such requests after (1) the employee has reached age 55 and (2) the request has aged approximately one year. Only equity awards earned and vested prior to 2005 are subject to this review.

6. The stock units do not have an expiration date.

**Remarks:** 

 
 Shannon B. Kinney (by Power of Attorney filed with the Commission on February 15, 2018).
 10/09/2020

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.