SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to	С
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

hours per response:	0.5
Estimated average burden	

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol CONOCOPHILLIPS [COP]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Hatfield Michael D.</u>					Director	10% Owner			
(Last) 16930 PARK R	(First) (Middle) ROW DR.		3. Date of Earliest Transaction (Month/Day/Year) 02/11/2020	- X	Officer (give title below) President	Other (specify below) nt			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filin	ng (Check Applicable			
HOUSTON	TX	77084		X	Form filed by One Re	porting Person			
(City)	(State)	(Zip)	-		Form filed by More the Person	an One Reporting			
		Table I Non Dari	vative Securities Acquired Disposed of or Benet	ficially	Owned				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction	2A. Deemed	3.		4. Securities A	Acquired	(A) or	5. Amount of	6. Ownership	7. Nature	l
,,,,	Date Execution Date, Transaction Disposed Of (D) (Instr. 3, 4 and						D) (Instr. 3, 4 and Securities		Form: Direct	of Indirect	l
	(Month/Day/Year)	if any	Code (Instr.	5)			Beneficially	(D) or Indirect	Beneficial	l
	,	(Month/Day/Year)	8)					Owned Following	(I) (Instr. 4)	Ownership	l
		Reported		Reported		(Instr. 4)	L				
			Code	lv	Amount	(A) or	Price	Transaction(s)			l
			Coue	I V	Amount	(D)	FIICE	(Instr. 3 and 4)			l
											4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Units	(1)	02/11/2020		Α		10,668		(2)	(3)	Common Stock	10,668	\$0.00	10,668	D	
Stock Units	(1)	02/11/2020		Α		32,175		(4)	(3)	Common Stock	32,175	\$0.00	32,175	D	

Explanation of Responses:

1. The stock units represent ConocoPhillips common stock on a 1-for-1 basis.

2. The stock unit grant settles 3 years from date of grant, but may be eligible for full or partial early settlement upon termination of employment after attainment of age 55 with five years of service, layoff, death or disability, or a change of control.

3. The stock units do not have an expiration date.

4. The stock units will be forfeited if the reporting person separates from service prior to the end of an escrow period ending on the earliest to occur of the following: (a) termination of employment as a result of layoff; (b) termination of employment after attainment of age 55 with five years of service; (c) termination of employment due to death or total disability; (d) termination of employment following a change in control; or (e) February 20, 2020. During the escrow period, the reporting person may not dispose of the stock units. The stock units will be settled in cash on the later of (a) the end of the escrow period or (b) the earlier of (i) death; (ii) February 20, 2020; or (iii) six months after separation from service in which case the stock units will be settled in cash based on the fair market value of the units on that date. The reporting person may also elect to defer all or part of the settlement value of the units to a later date.

Remarks:

Shannon B. Kinney (by Power
of Attorney filed with the
Commission on February 20,
2019)02

02/13/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.