| SEC Form 4 |  |
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL  |     |  |  |  |  |  |  |  |
|---|-----|--|--|--|--|--|--|--|
| OMB APPROVAL OMB Number: 3235-0283 Estimated average burden |     |  |  |  |  |  |  |  |
| Estimated average bur                                       | den |  |  |  |  |  |  |  |
| hours per response.   | 05  |  |  |  |  |  |  |  |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Brooks Catherine A. |  |                | 2. Issuer Name and Ticker or Trading Symbol <u>CONOCOPHILLIPS</u> [ COP ] |   | tionship of Reporting Pe<br>all applicable)<br>Director | 10% Owner                          |  |  |  |  |  |
|---|--|----------------|---|---|---|------------------------------------|--|--|--|--|--|
| (Last) (First) (Middle)<br>16930 PARK ROW DR.                               |  | (Middle)       | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/11/2020            |   | Officer (give title<br>below)<br>VP & Contr             | Other (specify<br>below)<br>roller |  |  |  |  |  |
| (Street)<br>HOUSTON TX 77084<br>(City) (State) (Zip)                        |  | 77084<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  | inal Filed (Month/Day/Year)<br>6. Individual or Joint/Group Filing (Ch<br>Line)<br>X Form filed by One Reporting<br>Form filed by More than One<br>Person |   |                                    |  |  |  |  |  |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                |   |   |   |                                    |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year)<br>2. Transaction<br>Execution Date<br>if any<br>(Month/Day/Year) |  | Transaction<br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|--|-----------------------------|---|---|---------------|-------|---|---|---|
|                                 |  |  | Code                        | v | Amount  | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130. 4)   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of    |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-------|-----|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Stock<br>Units                                      | (1)   | 02/11/2020                                 |   | A                            |   | 3,984 |     | (2)  | (3)                | Common<br>Stock  | 3,984                                  | \$0.00  | 3,984  | D  |  |
| Stock<br>Units                                      | (1)   | 02/11/2020                                 |   | A                            |   | 6,068 |     | (4)  | (3)                | Common<br>Stock  | 6,068                                  | \$0.00  | 6,068  | D  |  |

## Explanation of Responses:

1. The stock units represent ConocoPhillips common stock on a 1-for-1 basis.

2. The stock unit grant settles 3 years from date of grant, but may be eligible for full or partial early settlement upon termination of employment after attainment of age 55 with five years of service, layoff, death or disability, or a change of control.

3. The stock units do not have an expiration date.

4. The stock units will be forfeited if the reporting person separates from service prior to the end of an escrow period ending on the earliest to occur of the following: (a) termination of employment as a result of layoff; (b) termination of employment after attainment of age 55 with five years of service; (c) termination of employment due to death or total disability; (d) termination of employment following a change in control; or (e) February 20, 2020. During the escrow period, the reporting person may not dispose of the stock units. The stock units will be settled in cash on the later of (a) the end of the escrow period or (b) the earlier of (i) death; (ii) February 20 2020; or (iii) six months after separation from service in which case the stock units will be settled in cash based on the fair market value of the units on that date. The reporting person may also elect to defer all or part of the settlement value of the units to a later date.

**Remarks:** 

Shannon B. Kinney (by Power of Attorney filed with the Commission on January 7, 2019)

02/13/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.