| SEC Form 4 |  |
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number:          | 3235-0287 |
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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Addr<br>Lance Ryan | ess of Reporting Pe<br>Michael |                | 2. Issuer Name <b>and</b> |                                      |          |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |        |   |   |  |                                |
|--------------------------------|--------------------------------|----------------|---------------------------|--------------------------------------|----------|---|--|--------|---|---|--|--------------------------------|
| (Last)<br>16930 PARK F         | (First)<br>ROW DR.             | (Middle        | 5/                        | 3. Date of Earliest Tr<br>)2/20/2022 | ansacti  | on (Mo  | onth/Day/Year)   |        |   | X Officer (giv<br>below)<br>Ch                                    | e title<br>airman and Cl                                       | Other (specify<br>below)<br>EO |
| (Street)<br>HOUSTON<br>(City)  | TX<br>(State)                  | 77084<br>(Zip) |                           | 4. If Amendment, Da                  | te of Or | iginal  | Filed (Month/D   | ′ I    | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |   |  |                                |
|                                |                                | Table I ·      | Non-Derivat               | ive Securities                       | Acqu     | ired,   | Disposed   | of, or | Benefic   | ially Owned   |  |                                |
| Date                           |                                |                |                           |                                      |          | action<br>(Instr. 2)<br>4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |  |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect Beneficial<br>Ownership (Instr.<br>4) |                                |
|                                |                                |                |                           | Code V Amount (A) or Price           |          |   |  |        |   | - Reported<br>Transaction(s)<br>(Instr. 3 and 4)                  |  |                                |
| Common Stock                   |                                |                | 02/20/2022                |                                      | М        |   | 202,171  | A      | (1)   | 246,693   | D  |                                |

| Common Stock   | 02/20/2022 |  | D |  | 122,617 | D | \$89.67 | 124,076                   | D |                                      |
|--|------------|--|---|--|---------|---|---------|---------------------------|---|--------------------------------------|
| Common Stock   | 02/20/2022 |  | F |  | 79,554  | D | \$89.67 | 44,522                    | D |                                      |
| Common Stock   |            |  |   |  |         |   |         | 50,661                    | Ι | By Lance<br>Family Trust             |
| Common Stock   |            |  |   |  |         |   |         | 17,656.396 <sup>(2)</sup> | Ι | By<br>ConocoPhillips<br>Savings Plan |
| Table II. Derivative Securities Acquired Disposed of an Reneficially Owned |            |  |   |  |         |   |         |                           |   |                                      |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) | action Derivative Expiration Date of Securities Derivative Security (Month/Day/Year) Underlying Derivative Security (Instr. 5) or Disposed of (D) (Instr. |     | Expiration Date of Securities<br>(Month/Day/Year) Underlying<br>Derivative Security |                     | Securities Form<br>Beneficially Direct<br>Owned or In | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |        |            |   |  |
|---|---|--|---|------------------------------|---|-----|---|---------------------|---|--|--|--------|------------|---|--|
|   |   |  |   | Code                         | v   | (A) | (D)   | Date<br>Exercisable | Expiration<br>Date                                    | Title  | Amount<br>or<br>Number<br>of Shares                                |        | (Instr. 4) |   |  |
| Stock<br>Units                                      | (3)   | 02/20/2022                                 |   | М                            |   |     | 202,171   | (4)                 | 02/20/2022  | Common<br>Stock  | 202,171  | \$0.00 | 0          | D |  |

Explanation of Responses:

1. Each stock unit was the economic equivalent of one share of common stock and settled in cash.

2. Includes units acquired through routine dividend transactions that are exempt under rule 16a-11 and through a qualified plan that are exempt under rule 16b-3.

3. The stock units represent ConocoPhillips common stock on a 1-for-1 basis.

4. The stock units will be forfeited if the reporting person separates from service prior to the end of an escrow period ending on the earliest to occur of the following: (a) termination of employment as a result of layoff; (b) termination of employment after attainment of age 55 with five years of service; (c) termination of employment due to death or total disability; (d) termination of employment following a change in control; or (e) February 20, 2022. During the escrow period reporting person may not dispose of the stock units. The stock units will be settled in cash on the later of (a) the end of the escrow period or (b) the earlier of (i) death (ii) February 20, 2022 or (iii) six months after separation from service in which case the stock units will be settled in cash based on the fair market value of the units on that date. The reporting person may also elect to defer settlement of stock units until a later date.

**Remarks:** 

<u>Shannon B. Kinney (by Power</u> <u>of Attorney filed with the</u>

<u>Commission on February 15,</u> 2018)

02/22/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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